SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
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1. Name and Address of Reporting Person* Gray Eddie (Last) (First) (Middle)		Person*	2. Issuer Name and Ticker or Trading Symbol <u>DYNAVAX TECHNOLOGIES CORP</u> [DVAX]		ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner				
		(Middle)		X	Officer (give title below)	Other (specify below)			
(Last) (First) (Middle) C/O DYNAVAX TECHNOLOGIES			3. Date of Earliest Transaction (Month/Day/Year) 02/22/2018						
2929 SEVENT	H STREET, SI	UITE 100							
(Street)	<u> </u>	0.4710	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Ind Line) X	ividual or Joint/Group Filir Form filed by One Rei				
BERKELEY	CA	94710			Form filed by More that				
(City)	(State)	(Zip)			Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/22/2018		М		75,000	A	(1)	110,573	D	
Common Stock	02/22/2018		М		37,000	A	(2)	147,573	D	
Common Stock	02/22/2018		М		27,750	A	(3)	175,323	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1)	02/22/2018		М			75,000	(1)	02/21/2024	Common Stock	75,000	(1)	75,000	D	
Restricted Stock Unit	(2)	02/22/2018		М			37,000	(2)	02/21/2024	Common Stock	37,000	(2)	74,000	D	
Restricted Stock Unit	(3)	02/22/2018		М			27,750	(3)	03/30/2024	Common Stock	27,750	(3)	0	D	

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock. The RSUs vest over two years with 50% vesting on each annual anniversary of February 22, 2017.

2. Each RSU represents a contingent right to receive one share of common stock. The RSUs vest over three years with 1/3 vesting on each annual anniversary of February 22, 2017.

3. Each restricted stock unit ("RSU") represents a contingent right. These RSUs vested upon completion of certain performance conditions.

Remarks:

<u>/s/ Eddie Gray</u>

02/23/2018 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.