SEC Form 4

(Last)

(Street)

(City)

BERKELEY

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287

1. Name and Address of Reporting Person*

C/O DYNAVAX TECHNOLOGIES

2929 SEVENTH STREET, SUITE 100

CA

(State)

(First)

(Middle)

94710

(Zip)

OSTRACH MICHAEL S

STATEMENT OF CHANGES IN BENE

Filed pursuant to Section 16(a) of the Secu or Section 30(h) of the Investment C

02/22/2019

pursuant to Section 16(a) of the Securities Exchange Act of 1934	KSHIP	Estimated average b hours per response:	
or Section 30(h) of the Investment Company Act of 1934			
2. Issuer Name and Ticker or Trading Symbol DYNAVAX TECHNOLOGIES CORP [5. Relationship of R (Check all applicabl	eporting Person(s) to e)	o Issuer
E	Director	10	% Owner
DVAX]	X Officer (give below)		her (specify low)

Senior Vice President

6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

3. Date of Earliest Transaction (Month/Day/Year)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/22/2019		М		49,804	Α	(1)	126,358	D	
Common Stock	02/22/2019		М		8,500	Α	(1)	134,858	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	(1)	02/22/2019		м			49,804	(1)	02/21/2024	Common Stock	49,804	(1)	0	D	
Restricted Stock Unit	(1)	02/22/2019		М			8,500	(1)	02/21/2024	Common Stock	8,500	(1)	8,500	D	
Stock Option (Right to Buy)	\$10.47	02/22/2019		A		88,000		(2)	02/21/2026	Common Stock	88,000	\$0.00	88,000	D	
Restricted Stock Unit	(3)	02/22/2019		A		22,000		(3)	02/21/2026	Common Stock	22,000	(3)	22,000	D	

Explanation of Responses:

1. Each restricted stock unit ("RSU") represented a contingent right to receive one share of common stock that vested and converted to common stock on February 22, 2019.

2. This option grant will vest over three (3) years with one third (1/3) of the shares subject to the option vesting twelve months after the grant date, and one thirty-sixth (1/36) of the shares subject to the option vesting on the last day of each month thereafter.

3. Each RSU represents a right to receive one share of common stock. These RSUs vested upon completion of certain performance conditions.

Remarks:

/S/ Michael Ostrach

** Signature of Reporting Person

02/22/2019 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.