FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

lashington.	D.C.	20549	

OMB APPI	ROVAL
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## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Instruct	ion 1(b).				Filed						curities Exc Company			934					
1. Name and Address of Reporting Person* <u>Hack Andrew A. F.</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol DYNAVAX TECHNOLOGIES CORP [ DVAX							VAX CC	Relationship of the contract o	' '				
(Last)	(F	irst)	(Middle)		_ [ _										Officer below)	give tit	le	Othe below	r (specify v)
C/O BAIN CAPITAL LIFE SCIENCES INVESTORS 200 CLARENDON STREET						3. Date of Earliest Transaction (Month/Day/Year) 08/09/2021													
(Street) BOSTON	N M	IΑ	02116			4. If Amendment, Date of Original Filed (Month/Day/Year)						- 1	Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person						
(City)	(S	tate)	(Zip)																
		Т	able I - I	Non-D	eriva	tive	Secu	rities	Acquire	ed, E	Dispose	d of, o	r Ber	neficially	/ Owned				
1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day)				Execution Date,		Code (I	Transaction Disposed		rities Acquired (A) or ed Of (D) (Instr. 3, 4 and		A) or , 4 and 5)	5. Amount of Securities Beneficially Owned Foll Reported	,	Form: Direct (D) or Indirect		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	8	A) or D)	Price	Transaction(s) (Instr. 3 and 4)		linsu		nstr. 4)
Common	Stock			08/0	9/202	1			C <sup>(3)</sup>		2,249,0	000(3)	A	\$0.00	10,774,	,000	0 I See footnotes <sup>(1)(2)</sup>		
Common	Stock			08/0	9/202	1			S		2,250	,000	D	\$10.8	8,524,0	,000 I See footnotes		ootnotes <sup>(1)(2)</sup>	
			Table								sposed s, conve			eficially ( rities)	Owned				
1. Title of Derivative Security (Instr. 3)	titive Conversion Date Execution Date, Transac ity or Exercise (Month/Day/Year) if any Code (II			ction of Ex			Date Exercisable and xpiration Date And Xpiration Date Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Ir 3 and 4)		erlying	8. Price of Derivative Security (Instr. 5)	derivative Ow Securities For Beneficially Dir Owned or		10. Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisab		Expiration Date	Title	Nur	ount or mber of ares					
Series B	1														1				

## **Explanation of Responses:**

\$0.00

- 1. Represents securities held directly by Bain Capital Life Sciences Fund, L.P. ("BCLS") and BCIP Life Sciences Associates, LP ("BCIPLS" and, together with BCLS, the "Bain Capital Life Sciences Entities").
- 2. Bain Capital Life Sciences Investors, LLC ("BCLSI") is the ultimate general partner of BCLS and governs the investment strategy and decision-making process with respect to investments held by BCIPLS. Dr. Hack is a Managing Director of BCLSI. By virtue of the relationships described in this footnote, Dr. Hack may be deemed to share voting and dispositive power with respect to the securities held by the Bain Capital Life Sciences Entities. Dr. Hack disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.

08/12/2019

3. Each share of Series B Convertible Preferred Stock is convertible, at the option of the holder, into 1,000 shares of the Issuer's common stock and has no expiration date. The Series B Convertible Preferred Stock is not convertible if, as a result of such conversion, the holder, together with its affiliates, would beneficially own more than 9.99% of the total number of shares of the Issuer's common stock then issued and outstanding immediately after giving effect to the conversion. On August 9, 2021, the Bain Capital Life Sciences Entities converted an aggregate of 2,249 shares of Series B Convertible Preferred Stock into an aggregate of 2,249,000 shares of the Issuer's common stock.

## Remarks:

Convertible

Preferred Stock

/s/ Andrew Hack

08/11/2021

1.891

footnotes(1)(2)

\*\* Signature of Reporting Person

2,249,000(3

\$0.00

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

08/09/2021

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.