FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

| STATEMENT | OF CHANGES I | N BENEFICIAL | OWNERSHIP |
|-----------|--------------|--------------|-----------|
|           |              |              |           |

| OMB APPROVAL             |     |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person\* (Check all applicable) **DYNAVAX TECHNOLOGIES CORP MacGregor Brent** X Director 10% Owner DVAX ] Officer (give title Other (specify below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) C/O DYNAVAX TECHNOLOGIES 05/28/2021 **CORPORATION** 2100 POWELL STREET, SUITE 900 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) X Form filed by One Reporting Person EMERYVILLE CA 94608 Form filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 2A. Deemed 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 2. Transaction 7. Nature 5. Amount of 3. Transaction **Execution Date** of Indirect Code (Instr. 8) Beneficially Owned Following (D) or Indirect (I) (Instr. 4) Beneficial Ownership (Month/Day/Year) if any (Month/Day/Year) Reported Transaction(s) (Instr. 3 and 4) (Instr. 4) Code Amount Price Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 2. 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 10. 3. Transaction 3A. Deemed 11. Nature

| Se | erivative<br>ecurity<br>istr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | Date<br>(Month/Day/Year) | Execution Date,<br>if any<br>(Month/Day/Year) | Transa<br>Code (<br>8) |   |        |     | Expiration Date<br>(Month/Day/Year) |                    | of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Form:<br>Direct (D) | of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|----|----------------------------------|---|--------------------------|---|------------------------|---|--------|-----|-------------------------------------|--------------------|--|--|--------------------------------------|--|---------------------|--|
|    |                                  |   |                          |   | Code                   | v | (A)    | (D) | Date<br>Exercisable                 | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |                                      |  |                     |  |
| (R | ption<br>light to<br>1y)         | \$8.2   | 05/28/2021               |   | A                      |   | 25,000 |     | (1)                                 | 05/27/2028         | Common<br>Stock  | 25,000                                 | (2)                                  | 25,000   | D                   |  |

## **Explanation of Responses:**

- 1. This option vests fully on May 28, 2022, on the one year anniversary of the grant date.
- 2. Not applicable.

## Remarks:

Brent MacGregor, by /s/ Trevor 06/02/2021 Dutcher, Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.