SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| HENN TIMOTHY G | | | 2. Date of Event Requiring Statement (Month/Day/Year) 08/23/2004 3. Issuer Name and Ticker or Trading Symbol DYNAVAX TECHNOLOGIES CORP [DVAX] | | | | | | |
|--|---------------|-------|---|---|---|--|--|--|---|
| (Last) (First) (Middle) 717 POTTER STREET, SUITE 100 | | | | 4. Relationship of Reporting Person (Check all applicable) Director | n(s) to Issue 10% Owne | (Mo | 5. If Amendment, Date of Original Filed (Month/Day/Year) | | |
| (Street) | | | | X Officer (give title below) VP, Finance & Admin | Other (spe below) nistration | App | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | |
| BERKELEY | | 94710 | | | | | | Form filed b Reporting P | y More than One erson |
| (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned | | | | | | | | | |
| 1. Title of Security (Instr. 4) | | | | | 2. Amount of Securities Beneficially Owned (Instr. 4) or Indirect (I) (Instr. 5) | | cṫ (D) (Insti | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 4) | | | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securiti Underlying Derivative Security | | 4. Conversion or Exercise | Form: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
| | | | Date Exercisable | Expiratio Date | n Title | Amount or Number of Shares | Price of Derivative Security | Direct (D) or Indirect (I) (Instr. 5) | |
| Stock Option (| Right to Buy) | | 08/23/2005 ⁽¹⁾ | 08/25/2014 | 4 Common Stock | 75,000 | 4.58 | D | |

Explanation of Responses:

1. Option granted on 8/26/04. Option vests 25% on 8/23/05, and 1/48 per month thereafter.

Remarks:

<u>/s/ Timothy G. Henn</u>

** Signature of Reporting Person Date

08/30/2004

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.