1 Title of

Security (Instr. 3)

Restricted

Stock Unit

FORM 4

Check this box if no longer subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP he Securities Exchange Act of 1934

| OMB APPROVAL | | | | | | | |
|--------------------------|-----|--|--|--|--|--|--|
| OMB Number: 3235-0287 | | | | | | | |
| Estimated average burden | | | | | | | |
| hours per response: | 0.5 | | | | | | |

| Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | Filed pursuant to Section 16(a) of t or Section 30(h) of the Inve: | | | | |
|--|---|-------------------------------------|--|--|--|
| 1. Name and Address of Reporting Person* | | 2. Issuer Name and Ticker or | | | |

| | | | | | | 1 7 | | | | |
|--|---------|--|---|---|--|--|------------------------|--|---|----|
| 1. Name and Address of Reporting Person* <u>Janssen Robert</u> | | | uer Name and Ticke NAVAX TEC X] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (spec | | | | |
| (Last) C/O DYNAVAX 2100 POWELL | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/02/2022 | | | | Officer (give title below) Senior Vice President | | |
| (Street) EMERYVILLE | CA | 94608 | 4. If A | mendment, Date of | Original Filed | (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Form filed by One Form filed by Mor Person | Reporting Pers | on |
| (City) | (State) | (Zip) | n Dorivative | Socurities Ass | uirod Dic | nosad of ar Bonof | ioially | Owned | | |
| | | Table I - NO | in-Derivative | Securities Acq | uirea, Dis | posed of, or Benef | icially | Owned | | |
| Date | | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--|---|-----------------------------|---|-----------|---------------|---------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (11150.4) |
| Common Stock | 02/15/2022 | | A | | 467(1) | A | \$10.29 | 38,743 | D | |
| Common Stock | 03/02/2022 | | M | | 10,000 | A | (2) | 48,743 | D | |
| Common Stock | 03/03/2022 | | S | | 6,276(3) | D | \$10.56 | 42,467 | D | |
| Common Stock | 03/03/2022 | | S | | 17,760(4) | D | \$10.57 | 24,707 | D | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |

(e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 3A. Deemed Execution Date, 8. Price of Derivative 9. Number of derivative 3. Transaction 5. Number 7. Title and Amount 10 11. Nature Date (Month/Day/Year) Conversion Transaction Expiration Date (Month/Day/Year) Ownership Derivative or Exercise Price of Derivative Security Underlying Derivative Security (Instr. 3 and 4) if any (Month/Day/Year) Code (Instr. Security (Instr. 5) Securities Form: Beneficial 8) Securities Beneficially Direct (D) Ownership Acquired (A) or Disposed Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Reported of (D) (Insti 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration

Explanation of Responses:

(2)

- 1. Includes 467 shares acquired under issuer's 2014 Employee Stock Purchase Plan (ESPP) on February 15, 2022.
- 2. Each RSU represents a contingent right to receive one share of common stock. The RSUs vest over three years with 1/3 vesting on each annual anniversary of February 3, 2021.

(A)

10,000

(D)

3. The reporting person is reporting the sale of 6276 shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the delivery of common stock to the reporting person on March 2, 2022

Exercisable

(2)

4. The reporting person is reporting the sale of 17,760 shares of common stock to satisfy the reporting person's tax withholding obligations in connection with the delivery of common stock to the reporting person on March 2, 2022. The conversion of the RSUs into common stock was reported on the reporting person's Form 4 on February 14, 2022.

Remarks:

/s/ Robert Janssen

Title

Stock

(2)

03/04/2022

20,000

D

** Signature of Reporting Person

Shares

10,000

(2)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

03/02/2022

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.