SEC F	Form 4
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	FORM	4	UNITE	O STA	ATES S	ECURITIE: Washing				NGE CO	OMMIS	SION		OMB A	PPRO	VAL	
Section obligat	this box if no k n 16. Form 4 or ions may conti tion 1(b).		ed pursuar	t to Section 16(a) titon 30(h) of the Ir	es Exchan		HIP	Estim	OMB Number: 3 Estimated average burden hours per response:		3235-0287 n 0.5						
1. Name and Address of Reporting Person <sup>*</sup> COFFMAN ROBERT						r Name <b>and</b> Ticke AVAX TECI			(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below)				wner			
	NAVAX TE	irst) CHNOLOGIES REET, SUITE 1	3. Date 02/22/	of Earliest Transa 2017	ay/Year)		, ,	ienior Vi	ce Presi	,							
(Street) BERKEI (City)		A itate)	94710 (Zip)		_ 4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)							<ul> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ul>				
		Та	ble I - Noi	n-Deriv	vative S	ecurities Acq	uired	, Disp	oosed o	f, or Bene	eficially	Owned					
1. Title of Security (Instr. 3) 2. Transa Date (Month/D					saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code 8)	action (Instr.	Disposed	ties Acquired I Of (D) (Instr.	3, 4 and 5	Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature Indirect Beneficial Ownershi (Instr. 4)	
						curities Acqu		Dispo		or Benef		(Instr. 3 a	ind 4)				
	-					lls, warrants,	•	,			,		<u> </u>			<u> </u>	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Exercise (Month/Day/Year) if any ce of (Month/Day/Year) (Month/Day/Year)			ransaction code (Instr. )	Derivative E	. Date Exercisable and ixpiration Date Month/Day/Year)			7. Title and A of Securities Underlying Derivative So (Instr. 3 and	ecurity	Derivative Security ity (Instr. 5)		re C es F ally D g (I d	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Benefic Owners t (Instr. 4	
												1	Transact	ion(s)		1	

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	8)	8) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Derivative Security (Instr. 3 and 4)		(Instr. 5)	Owned	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	(1)	02/22/2017		A		109,405		(1)	02/21/2024	Common Stock	109,405	(1)	109,405	D	
Restricted Stock Units	(2)	02/22/2017		A		25,500		(2)	02/21/2024	Common Stock	25,500	(2)	25,500	D	

## Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one share of common stock. The RSUs vest over two years with 50% vesting on each annual anniversary of February 22, 2017.

2. Each RSU represents a contingent right to receive one share of common stock. The RSUs vest over three years with 1/3 vesting on each annual anniversary of February 22, 2017.

## **Remarks:**

Robert L. Coffman, by /s/

02/24/2017 Michael Ostrach, Attorney-in Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.