SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* BOCK LOUIS C			2. Date of Event Requiring State (Month/Day/Yea 02/11/2004	uiring Statement th/Day/Year) DYNAVAX TECHNOLOGIES CORP [DVAX]							
(Last) (First) (Middle) C/O BANKAMERICA VENTURES 950 TOWER LANE STE 700						ionship of Reporting Perso all applicable) Director Officer (give title below)	on(s) to Issuer 10% Owne Other (spec below)	r cify 6. I	5. If Amendment, Date of Original Filed (Month/Day/Year)6. Individual or Joint/Group Filing (Check		
(Street) FOSTER CITY	CA	94404				Delow)	Delow)	i ''	-	y One Reporting Person y More than One erson	
(City) (S	State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security			4. Conversion or Exercise Price of	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
		Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)			
Series B Converti	ible Preferred	Stock	02/11/2004	(1)		Common Stock	109,289	0 ⁽³⁾	Ι	See notes. ⁽²⁾	
Series C Converti	ible Preferred	Stock	02/11/2004	(1)		Common Stock	11,816	0 ⁽⁴⁾	Ι	See notes. ⁽²⁾	
Series D Convert	ible Preferred	l Stock	02/11/2004	(1)		Common Stock	72,815	0 ⁽³⁾	Ι	See notes. ⁽²⁾	

Explanation of Responses:

1. 1) These securities are shares of preferred stock of Dynavax Technologies Corporation and do not have an expiration date. These securities will automatically convert into shares of Dynavax's Common Stock upon the effectiveness of Dynavax's initial public offering.

2. (2) Represents securities held by BA Venture Partners IV, of which the Reporting Person is a partner. The Reporting Person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.

3. (3) Convertible into common stock on a 1:1 ratio.

4. (4) Convertible into common stock on a 1:1.26 ratio.

Louis C. Bock

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

02/11/2004 Date